|   |   |  |  | THE THE SELECTION OF THE PARTY IN                |  |  |  |  |  |
|---|---|--|--|--|--|--|--|--|--|
| FORM<br>(REV. 0   |   | US DEPARTMENT OF COMMERCE PATENT & TRADEMARK OFFICE  |  | ATTORNEY'S DOCKET NUMBER 122476                  |  |  |  |  |  |
| TRAÑSMITTAL LETT OF O THE UNITED STATES  DESIGNATED/ELECTED OFFICE (DO/EO/US)  U.S. APPLICATION NO. (If known, see 37 CFR 1.5) 10/521,597 |   |  |  |  |  |  |  |  |  |
|   |   | ONCERNING A FILING UN  |  |  |  |  |  |  |  |
|   |   | IONAL APPLICATION NO.<br>003/007506  | PRIORITY DATE CLAIMED<br>July 15, 2002 |  |  |  |  |  |  |
|   | PCT/EP2003/007506 July 11, 2003 July 15, 2002  TITLE OF INVENTION PRIMER WITH LONG OPEN TIME FOR POLYMERIC SUBSTRATES |  |  |  |  |  |  |  |  |
| APPLICANTS FOR DO/EO/US Gerald TRABESINGER; Thomas WIRZ; Fabian VENETZ  |   |  |  |  |  |  |  |  |  |
| Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:           |   |  |  |  |  |  |  |  |  |
| 1.  |   | This is a FIRST submission of item   | ns concerning a filing under 35 U.S    | .C. 371.   |  |  |  |  |  |
| 2.  | $\boxtimes$   | This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.  |  |  |  |  |  |  |  |
| 3.  | ☒   | This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.  |  |  |  |  |  |  |  |
| 4.  | $\boxtimes$   | The US has been elected (Article 3   | 31).                                   |  |  |  |  |  |  |
| 5.  |   | A copy of the International Applicat   | tion as filed (35 U.S.C. 371(c)(2))    |  |  |  |  |  |  |
|   |   | a.  is attached hereto (required   | only if not communicated by the Ir     | nternational Bureau).                            |  |  |  |  |  |
|   |   | b.  has been communicated by   | the International Bureau.              |  |  |  |  |  |  |
|   | c. $\square$ is not required, as the application was filed in the United States Receiving Office (RO/US).             |  |  |  |  |  |  |  |  |
| 6.  |   | An English language translation of   | the International Application as file  | d (35 U.S.C. 371(c)(2))                          |  |  |  |  |  |
|   |   | a.  is attached hereto.  |  |  |  |  |  |  |  |
|   |   | b.  has been previously submit   | ted under 35 U.S.C. 154(d)(4).         |  |  |  |  |  |  |
|   |   | c.   | n was filed in English.                |  |  |  |  |  |  |
| 7.  |   | Amendments to the claims of the Ir   | nternational Application under PCT     | Article 19 (35 U.S.C. 371(c)(3))                 |  |  |  |  |  |
|   |   | a.  are attached hereto (require   | ed only if not communicated by the     | International Bureau).                           |  |  |  |  |  |
|   |   | b.  have been communicated b   | y the International Bureau.            |  |  |  |  |  |  |
|   |   | c.  have not been made; however  | ver, the time limit for making such a  | amendments has NOT expired.                      |  |  |  |  |  |
|   |   | d.  have not been made and wi  | ill not be made.                       |  |  |  |  |  |  |
| 8.  |   | An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).  |  |  |  |  |  |  |  |
| 9.  | $\boxtimes$   | An oath or declaration of the inventors (35 U.S.C. 371(c)(4)).   |  |  |  |  |  |  |  |
| 10.   |   | An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).   |  |  |  |  |  |  |  |
| Items   | s 11 t  | o 20 below concern document(s)   | or information included:               |  |  |  |  |  |  |
| 11.   | $\boxtimes$   | An Information Disclosure Stateme  | ent under 37 CFR 1.97 and 1.98.        |  |  |  |  |  |  |
| 12.   |   | An assignment document for recor   | ding. A separate cover sheet in co     | impliance with 37 CFR 3.28 and 3.31 is included. |  |  |  |  |  |
| 13.   |   | A preliminary amendment.   |  |  |  |  |  |  |  |
| 14.   |   | An Application Data Sheet under 3  | 7 CFR 1.76.                            |  |  |  |  |  |  |
| 15.   |   | A substitute specification.  |  |  |  |  |  |  |  |
| 16.   | $\boxtimes$   | A power of attorney and/or change of address letter.   |  |  |  |  |  |  |  |
| 17.   |   | A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.   |  |  |  |  |  |  |  |
| 18.   |   | A second copy of the published international application under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |  |
| 19.   |   | A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).  |  |  |  |  |  |  |  |
| 20.   | ⊠   | Other items or information: 1) Response to the Notification of Missing Requirements; 2) Large Entity Petition for 4 <sup>th</sup> -5 <sup>th</sup> Extension of Time with Check No. <u>175430</u> in the amount of <u>\$2160.00</u> ; and 3) Transmittal of Power of Attorney and Statement. |  |  |  |  |  |  |  |
|   |   |  |  |  |  |  |  |  |  |

| U.S. APPLICATION NO. (if known, 10/521,597  |   | INTERNATIONAL APPLICA<br>PCT/EP2003/007506   | TION NO.                             | ATTORNEY'S DOCKET N<br>2476   | NUMBER       |  |  |
|---|---|--|--------------------------------------|-------------------------------|--------------|--|--|
| 21.  The following fee:   |   | 7 0 1721 2000/007 000                        |                                      | ALCULATIONS                   | PTO USE ONLY |  |  |
|   |   |  |                                      |                               |              |  |  |
|   |   |  |                                      |                               |              |  |  |
| <b>BASIC NATIONAL FEE (3</b>  |   |  | \$ 300.00                            | \$                            |              |  |  |
| SEARCH FEE (37 CFR 1.4  | i92(b)(1)-(3)):                                 |  |                                      | \$                            |              |  |  |
| International preliminary ex<br>the USPTO as IPEA or ISA<br>industrial applicability for al<br>national stage   | A and favorable as to<br>Il claims presented in |  |                                      |                               |              |  |  |
| International search fee (37  | 7 CFR 1.445(a)(2)) pa                           | 1  |                                      |                               |              |  |  |
| International search report the search fee is paid  | provided to USPTO r                             |  |                                      |                               |              |  |  |
| All situations not provided f   |   |  | \$ 500.00                            |                               |              |  |  |
| EXAMINATION FEE (37 C   | FR 1.492(c)(1)-(2)):                            |  |                                      | \$                            |              |  |  |
| International preliminary examination report or written opinion prepared by the USPTO as IPEA or ISA and favorable as to novelty, inventive step, and industrial applicability for all claims presented in the application entering the national stage  |   |  |                                      |                               |              |  |  |
| Surcharge of \$130.00 for fue arliest claimed priority dat  | urnishing the oath or o                         | declaration later than 3                     | 30 months from the                   | \$130.00                      |              |  |  |
| TOTAL PAGES OF<br>APPLICATION OVER<br>100 ( - 100)  | ÷ 50  | = †  | x 250 =                              | \$                            |              |  |  |
| tround up to next intege  | er  | <u>.                                    </u> | I                                    | L                             | <u> </u>     |  |  |
| CLAIMS  | NUMBER FILED                                    | NUMBER EXTRA                                 | RATE                                 | \$                            |              |  |  |
| TOTAL CLAIMS  | - 20  | =  | x 50.00 =                            | \$                            |              |  |  |
| INDEPENDENT CLAIMS  |   | =  | x 200.00 =                           | \$                            |              |  |  |
| MULTIPLE DEPENDENT (  |   |  | + 360.00 =                           | \$                            |              |  |  |
| Applicant claims small  |   | TOTAL OF ABOVE (                             |                                      | \$130.00<br>\$                |              |  |  |
| reduced by ½.   | entity status. See S7                           | OFIC 1.27. THE ICES                          | -                                    | Ψ                             |              |  |  |
|   |   |  | SUBTOTAL =                           | \$130.00                      |              |  |  |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).   |   |  |                                      |                               |              |  |  |
| , and the second second   |   |  | NATIONAL FEE =                       | \$130.00                      |              |  |  |
| Fee for recording the enclo   | sed assignment (37 (                            | CFR 1.21(h)). The ass                        | signment must be                     | \$                            |              |  |  |
| accompanied by an approp  | riate cover sheet (37                           |  | .00 per property +<br>EES ENCLOSED = | \$130.00                      |              |  |  |
|   |   | TOTAL FE                                     | LO LINCLOSED -                       | Amount to be                  |              |  |  |
|   |   |  |                                      | refunded:                     | \$           |  |  |
|   |   |  |                                      | charged:                      | \$           |  |  |
| <ul> <li>a.</li></ul>   |   |  |                                      |                               |              |  |  |
| Deposit Account No. 15-0461. A duplicate copy of this sheet is enclosed.  d.  Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038. |   |  |                                      |                               |              |  |  |
| NOTE: Where an appro  | priate time limit und                           | ler 37 CFR 1.495 has                         | not been met, a peti                 | tion to revive (37 CF         |              |  |  |
| SEND ALL CORRESPON<br>OLIFF & BERRIDG   | IDENCE TO:                                      | tile application to p                        |                                      | mell M                        |              |  |  |
| Customer Number   |   |  | NAME: Jäme                           | s A. Oliff<br>ON NUMBER: 27,0 |              |  |  |
| Date <u>January 20, 2006</u>  | <u>6</u>  |  | NAME: Rand                           | i B. Isaacs                   |              |  |  |
| AAAA DEDEWA AAAAAAA 4   | N521597   |  | REGISTRATIO                          | ON NUMBER: 56,0               | 46           |  |  |
| 2006 GFREY1 00000088 1  | 130.00 BP                                       |  |                                      |                               |              |  |  |
| 1617  | 120.00 06                                       |  |                                      |                               |              |  |  |



In re the Application of

Gerald TRABESINGER et al.

Application No.: 10/521,597

Filed: January 20, 2006

Docket No.:

122476

For:

PRIMER WITH LONG OPEN TIME FOR POLYMERIC SUBSTRATES

### TRANSMITTAL OF POWER OF ATTORNEY AND STATEMENT UNDER 37 CFR § 3.73(b)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith is a Power of Attorney from the Assignee.

In compliance with 37 CFR §3.73(b), the undersigned hereby states that SIKA TECHNOLOGY AG is the assignee of the entire right, title and interest in the patent application identified above by virtue of an assignment from the inventors of the patent application identified above. A copy of the assignment is attached hereto and is concurrently being submitted for recordation.

The undersigned is authorized to act on behalf of the assignee.

In accordance with 37 CFR §1.36(a), submission of this Power of Attorney revokes any powers of attorney previously given.

ALL CORRESPONDENCE IN CONNECTION WITH THIS APPLICATION SHOULD BE SENT TO OLIFF & BERRIDGE, PLC, CUSTOMER NO. 25944, TELEPHONE (703) 836-6400.

Respectfully submitted,

umder

James A. Oliff

Registration No. 27,075

Randi B. Isaacs

Registration No. 56,046

JAO:RBI/mps

Date: January 20, 2006

NITED STATES PATENT AND TRADI

In re the Application of

Gerald TRABESINGER et al.

Application No.: 10/521,597

Docket No.:

Attn: Mail Stop PCT

122476

RK OFFICE

Filed:

January 20, 2006

For:

PRIMER WITH LONG OPEN TIME FOR POLYMERIC SUBSTRATES

RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C 371 IN THE UNITED STATES DESIGNATED/ **ELECTED OFFICE (DO/EO/US) WITH DECLARATION** 

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notification of Missing Requirements Under 35 U.S.C 371 in the United States Designated/Elected Office (DO/EO/US) (copy attached) mailed on June 23, 2005, submitted herewith is the executed Declaration of the inventors and a Power of Attorney. Any necessary Designation of Ten Attorneys for Power of Attorney or Transmittal of Power of Attorney and Statement Under 37 C.F.R. §3.73(b) is also attached. Any specification attached to and referenced in the Declaration is a copy of the specification and any amendments thereto which were filed in the Office in order to obtain a filing date for the application.

Attached is our Check No. 175429 for \$\sqrt{\$130.00}\$ \$65.00 (entitlement to small entity status is asserted) for the fee under 37 C.F.R. §1.492(h).

Entry of these documents should complete all of the filing formalities and fully satisfy all requirements of the Notification of Missing Requirements. Accordingly, prompt issuance of a Notification of Acceptance and Official Filing Receipt, and prompt examination and allowance of this application are respectfully solicited.

The Commissioner is hereby authorized to charge any additional fee (or credit any overpayment) associated with this communication to Deposit Account No. 15-0461. Two duplicate copies of this paper are attached.

Respectfully submitted,

unum

James A. Oliff

Registration No. 27,075

Randi B. Isaacs

Registration No. 56,046

JAO:RBI/mps

Date: January 20, 2006

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE **AUTHORIZATION** Please grant any extension

necessary for entry; Charge any fee due to our Deposit Account No. 15-0461



#### United States Patent and Trademark Office

INTER STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Advantes, Viginia 22313-1450 www.mplu.gov

ATTY. DOCKET NO. FIRST NAMED APPLICANT U.S. APPLICATION NUMBER NO. 10/521,597

Gerald Trabesinger

122476

INTERNATIONAL APPLICATION NO. PCT/EP03/07506

25944 OLIFF & BERRIDGE, PLC P.O. BOX 19928 ALEXANDRIA, VA 22320



LA. FILING DATE PRIORITY DATE 07/15/2002 07/11/2003

**CONFIRMATION NO. 2773 371 FORMALITIES LETTER** OC000000016351393

Date Mailed: 06/23/2005

# MISSING PARTS

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/18/2005
- English Translation of the IA filed on 01/18/2005
- Copy of the International Search Report filed on 01/18/2005
- Copy of IPE Report filed on 01/18/2005
- Preliminary Amendments filed on 01/18/2005
- U.S. Basic National Fees filed on 01/18/2005
- Priority Documents filed on 01/18/2005

BEE DATE

AUG 2 3 2005

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- \$130 Surcharge for providing the oath or declaration later than 30 months from the priority date (37 CFR 1.492(e)) is required.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

\$130 Late oath or declaration Surcharge.

Oliff & Berridge

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

A copy of this notice MUST be returned with the response.

LAMONT M HUNTER

Telephone: (703) 308-9140 EXT 201

## PART 1 - ATTORNEY/APPLICANT COPY

| U.S. APPLICATION NUMBER NO. | INTERNATIONAL APPLICATION NO. | ATTY. DOCKET NO. |  |
|-----------------------------|-------------------------------|------------------|--|
| 10/521,597                  | PCT/EP03/07506                | 122476           |  |

FORM PCT/DO/EO/905 (371 Formalities Notice)